

The following risk factors have been categorized as General, Crop Scope Specific, Livestock Scope Specific, or Handling Scope Specific. Each working group participant should rank the items 1-4 to determine which factors determine the highest levels of risk. Use 1 to indicate the least significant level of risks and 4 to indicate the most significant level of risk.

General/Universal								Average
Active Settlement Agreement	3	3	3	4	3	4	3	3.29
Change in certifiers	2	3	2	1	1	2	2	1.86
Contamination with prohibited substance	4	4	4	4	4	4	4	4.00
History of Noncompliance related to organic integrity	4	4	4	4	4	4	4	4.00
Prior suspension related to organic integrity	4	4	4	4	4	4	4	4.00
Use of prohibited inputs	4	4	4	4	4	4	4	4.00
Use of GMO materials	4	4	4	4	4	4	4	4.00
Reinstating operation	3	4	3	4	3	3	2	3.14
Inspector or reviewer suspicion	3	4	3	3	3	4	3	3.29
Positive residue test	3	3	4	3	3	3	3	3.14
Insufficient internal controls	4	3	2	3	3	3	2	2.86
Subject of complaint	3	4	3	2	3	3	2	2.86
Failure of mass balance or in/out audit	3	3	2	3	2	3	3	2.71
Failure of traceback	3	3	2	2	2	4	2	2.57
Turnover of key staff, especially if tasked with management of OSP	3	3	2	3	2	2	3	2.57
Operational complexity	2	3	2	3	2	2	3	2.43
Poor or absent records	3	2	2	2	2	3	2	2.29
Parallel production	3	3	2	3	2	3	3	2.71
Mixed production	2	3		1			1	1.75
New to organic (less than 3 years)	2	3	2	2	2	2	2	2.14
Rapid growth or scaling up	2	3	2	2	2	2	2	2.14
Grower groups	2	1	3	1	2	3	2	2.00
Unusually low price for organic products	2	2	2	2	2	2	2	2.00
History of non-response	1	2	2	2	1	2	2	1.71
Distributes widely or exports product	2	3	1	2	1	1	2	1.71
Multiple locations	2	3	1	1	1	2	1	1.57

The following risk factors have been categorized as General, Crop Scope Specific, Livestock Scope Specific, or Handling Scope Specific. Each working group participant should rank the items 1-4 to determine which factors determine the highest levels of risk. Use 1 to indicate the least significant level of risks and 4 to indicate the most significant level of risk.

<b>General/Universal</b>									<b>Average</b>
Large size/high volume clients (production or distribution)	2	3	1	1	1	1	1	1	1.43
Financial health of company is challenged	1	2	1	1	1	2	1	1	1.29
Non-owner in charge of management	1	2	1	1	1	1	2	1	1.29
Handling of commodity in short supply or with high organic/conventional price ratio	3	2	1	2			3		2.20
Imported products	3	3	2	3			3		2.80
<b>Specific to Crop Scope</b>									<b>Average</b>
Nearby aerial spraying, adjoining land is GMO, drift reported, etc.	3	4	4	3	4	3	4	4	3.57
Use or presence of prohibited inputs	3	4	3	3	3	4	3	3	3.29
Yields are higher than average or expected	3	4	3	3	3	3	3	3	3.14
High value crop, reduction in supply or increase in demand across industry	2	3	2	2	2	2	2	2	2.14
High maintenance crops (in terms of pest control needs)	2	3	2	2	2	2	2	2	2.14
Intensive use of external inputs	1	2	2	1	1	1	2	2	1.43
New land additions	1	2	1	1	1	1	1	1	1.14
<b>Specific to Livestock Scope</b>									<b>Average</b>
Calculated DMI from pasture is less than 40%	3	4	3	3	3	3	3	3	3.14
Frequent inclement weather	3	3	3	3	3	3	3	3	3.00
Increase in herd size or decrease in pasture acres (more than 10%) since previous year	3	3	2	3	3	3	3	3	2.86
Large herd with limited grazing area	3	3	3	3	3	3	3	3	3.00
More than one animal per acre of pasture (or other regionally appropriate ratio)	2	3	2	3	2	2	3	3	2.43
Intensive use of medical inputs	1	3	1	2	1	3	1	1	1.71
Small outdoor access area for size of poultry flock	3	3	2	3			3		2.80
Limited doors to provide poultry outdoor access	3	3	2	3			2		2.60

The following risk factors have been categorized as General, Crop Scope Specific, Livestock Scope Specific, or Handling Scope Specific. Each working group participant should rank the items 1-4 to determine which factors determine the highest levels of risk. Use 1 to indicate the least significant level of risks and 4 to indicate the most significant level of risk.

General/Universal								Average
Specific to Handler Scope								Average
Country of origin has known issues	4	4	3	4	4	3	4	3.71
Use of uncertified handlers	4	3	3	4	3	3	3	3.29
Contamination with cleaning or pest control substances	3	4	3	3	3	3	2	3.00
Long or complex supply chains	3	4	2	3	3	2	3	2.86
Unsatisfactory labeling or insufficient prevention of commingling	3	4	3	3	2	3	3	3.00
A lot of new/unknown suppliers	3	3	3	1	3	3	2	2.57
High potential to add processing aids without approval	3	3	2	3	2	2	3	2.57
Complex formulas w/ non-organic ingredients	2	3	2	2	2	3	2	2.29
Complex multi-ingredient products close to 95% content	3	3	2	2	2	2	2	2.29
Presence of conventional units	2	3	1	3	2	2	2	2.14
Distributor of unpackaged product	2	3	2	3	2	2	1	2.14
Ingredients at risk of GMO contamination	1	3	2	1	2	3	1	1.86
Private labels	2	2	1	3	2	1	2	1.86
Known shortage of ingredient	2	2	1	2	2	1	2	1.71
Labels are easily changed	2	3	1	1	2	2	1	1.71
Lack of specific product in the marketplace	1	3	1	2	1	2	1	1.57
Multiple label categories	2	3	1	1	1	1	1	1.43
Ingredients have multiple suppliers	1	3	1	1	1	1	1	1.29
Rapid increase in number of organic products	2	2	1	1	1	1	1	1.29
Product has short shelf life	1	2	1	1	1	1	1	1.14